

Pledge of Unit(s) Form

Date: ____ - ____ - ____

Section 1: Account Details (of Pledger)

Account Title: _____ JSIL Account No.: _____
 Contact Name (For Institutions): _____ CNIC/ Passport No. (For individuals): _____
 Guardian's Name (in case of Minor): _____ NTN: _____

Section 2: Pledge Details

No.	Name of Fund	No. of Units to be Pledged		Form of Units
1		Figures: _____	Words: _____	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical Certificates <input type="checkbox"/> CDS Holding
2		Figures: _____	Words: _____	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical Certificates <input type="checkbox"/> CDS Holding

In case of CDC Holding, please provide: CDC Investor Account No.: _____ Participant ID: _____ CDC Sub-account No.: _____
 In case of Physical Certificates, please attach certificates with this form, and provide: Certificate No(s). 1. _____ 2. _____ 3. _____

Section 3: Pledgee / Lien Holder Details

Name of Pledge Holder: _____ Contact Name (For institutions): _____
 Phone (Office): _____ Mobile: _____ Email: _____

Section 4: Declaration (for All Applicants)

- ✓ I/We hereby acknowledge that I/We have fully understood all the reference notes; and the provisions of the Trust Deed and Offering Document including the risk disclosures and warnings. Further, I/We hereby ratify that the information provided on this form is correct and that no other person(s) has any financial interest in this account.
- ✓ I/We recognize and understand that the registration of this Pledge/Lien places a responsibility on the Management Company and the Trustee, the Transfer Agent(s)/Registrar(s) and their respective agents to ensure that if for any reason what so ever, the Unit(s) specified on this form (here in after referred to as the Pledged Unit(s)) are redeemed or transferred by the Pledgee(s)/Lien Holder(s), save for any legal bar or court order requiring otherwise, the proceeds there of shall be paid to the Pledgee(s)/Lien Holder(s) or as the case maybe. The Unit(s) shall be transferred to the order of the Pledgee(s)/Lien Holder(s) or first named joint Pledgee(s)/Lien Holder(s) according to the provisions of the constitutive documents of the pertinent fund(s).
- ✓ I/We further confirm that being the pledger, I/We have signed the prescribed blank A/C to A/C transfer form or a blank Redemption Form and have delivered the same to the Pledgee(s)/Lien Holder(s) enabling him/her/it/them to redeem or to transfer the Pledged Unit(s) in exercise of their rights as Pledgee(s)/Lien Holder(s).
- ✓ I/We recognize and understand that in case the Pledgee(s)/Lien Holder(s) apply(ies) for Redemption of all or any of the Pledged Unit(s), such Redemption will be valid on the submission of properly filled in and signed Redemption Form as referred to in clause 3 above, by the Pledgee(s)/Lien Holder(s).
- ✓ I/We recognize and understand that in case the Pledgee(s)/Lien Holder(s) apply(ies) for Transfer of all or any of the Pledged Unit(s), such Transfer will be valid on submission of properly filled Transfer Form referred to in Clause 3 above, duly signed by the Pledger(s) and Pledgee(s)/Lien Holder(s).
- ✓ I/We understand that in case the Pledged Unit(s) are book entry securities, the provisions of the Central Depositories Act, 1997 and the Central Depository Company of Pakistan Limited regulation will also become applicable in addition to the terms and conditions contained here in.
- ✓ I/We recognize and understand that save any legal bar or court order requiring other wise, any dividends that are declared on the Pledged Unit(s) shall be made to the order of the Unit Holder. However, any additional bonus units that the Pledged Unit(s) are entitled to automatically be marked under the lien of the Lien Holder and in the event the Pledged Unit(s) are redeemed for any reason what so ever, the proceeds shall be paid to the order of the Lien Holder.
- ✓ The declaration of any loan against the constitution of such Pledge/Lien/Charge shall be at the entire discretion of the lender and neither the Trustee, nor the Management Company and/or the Transfer Agent take any responsibility in this matter.
- ✓ I/We recognize and understand that neither the Management Company, nor/and the Trustee or the Transfer Agents/Registrar or their respective agents accept any responsibility for the validity of my/our act of placing the Pledge Unit(s) under the Pledge/Lien not for any obligation or commitments undertaken by me/us in respect thereof under any circumstances whatsoever.
- ✓ I/We recognize and understand that the Pledge/Lien on the Pledged Unit(s) shall continue till such time. Pledge/Lien is released by the Pledgee(s)/Lien Holder(s) under his/her/their written instructions under their respective signatures.
- ✓ I/We undertake to indemnify JS Investments Limited (JSIL), the Trustee and the pertinent Transfer Agent against all losses, cost and expenses that they or any of them may suffer or sustain as a result of the recordal of the Pledged/Lien on the Pledged Unit(s), as specified on this Form.
- ✓ All of the above declarations are subject to provisions of constitutive documents of the related fund.

Note: In case of Minor / Guardian / Shaky signature / Thumb Impression, please submit a clear copy of CNIC and "B-form" (only in case of Minor). In such cases, two witnesses are required to sign the form.

Pledger: Principal / Authorized Signature

Joint / Authorized Signature

Authorized Signature

Authorized Signature

Witness (1) Name: _____

Signature: _____

CNIC/ Passport No.: _____

Witness (2) Name: _____

Signature: _____

CNIC/ Passport No.: _____

Pledgee: Authorized Signature

Authorized Signature

Authorized Signature

Authorized Signature

Witness (1) Name: _____

Signature: _____

CNIC/ Passport No.: _____

Witness (2) Name: _____

Signature: _____

CNIC/ Passport No.: _____

Reference Notes

- If any field is not applicable kindly write N/A. ● The form must be signed by the persons as per the list of authorized signatories (along with a company stamp in case of institutional customers). ● In case the applicant is Minor, Guardian's CNIC copy shall be provided. His / Her form will also need to be signed by the guardian as an authorized signatory. ● All transaction amounts and Unit(s) are subject to levies, duties, charges, etc. as per the relevant statutes enforced for the time being in Pakistan. ● Management Company or Trustee has the right to reject application in accordance with the Trust Deed and/or Offering Document. ● All correspondence will be made with Principal Account Holder only. ● Pledge Call(s) will be accepted according to the provisions of the Trust Deed and the Offering Document. The following two Pledged Call options are available by Pledgee/Lien holder(s): 1) Encashment of Units: Valid Redemption Form is required to be submitted along with pledge call letter by the Pledgee/Lien Holder; 2) Unit Transfer: A duly completed Transfer of Units Form is required to be submitted by the Pledgee/Lien Holder along with pledge call letter. ● The Pledge/Lien will be released on the date of receipt of pledge release letter duly authorizing Pledge release from the authorized signatories of Pledgee(s)/Lien Holder(s) including any partial Pledge release. ● **Documents required:** 1) In case the Pledge/Lien holder is not an existing client of JSIL, and intends to transfer units in his name, he/she/they shall be required to submit duly completed registration form; 2) Physical certificates as specified in this form; 3) In case of Institutional Pledge/Lien Holders, pledge call letters signed by the authorized signatories along with Board resolution/ authorization; 4) Power of attorney on behalf of Pledgee/Lien Holders.

For Official Use

Channel Partner: _____ Region / City: _____ Branch Name / Code: _____
 Relationship Manager: _____ Comments: _____

Proof of Application for Pledge of Unit(s) - (Investor's Copy)

Date: ____ - ____ - ____

Pledger Account Title: _____ JSIL Account No.: _____

Pledge/Lien Holder Name: _____

1. Fund Name: _____ No. of Units _____ 2. Fund Name: _____ No. of Units: _____

Channel Partner: _____ Relationship Manager: _____

Receiving Stamp & Signature: _____